

A

Under 35 USC 111(a) and Rule 53(b)

JC872 U.S. PTO

09/863479

05/23/01

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

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00909

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): Kazuhiko Honda

Title OPTICAL DISC RECORDING METHOD, OPTICAL DISC
RECORDING DEVICE, AND OPTICAL DISC

Atty. Dkt.:	P 0277000	H7519US
	M#	Client Ref

including:

Date: May 23, 2001

1. Specification: 50 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 34 numbered claims
4. ☒ Drawings: sheet(s) ☒ informal; ☐ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete item 6 or 7). (Prior M# SN)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. / filed _____
 No. / filed _____
 No. / filed _____

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned. ✓
9. ☒ Assignee (optional): Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-151248	May 23, 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
13. Small entity status ☒ is **not** claimed; ☐ is claimed (Pre-filing confirmation required)
- 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b). ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	34	minus 20 =	*14	x \$18/\$9 =	+ 252	103/203
20. Independent Claims	4	minus 3 =	*1	x \$80/\$40 =	+ 80	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22.				TOTAL FILING FEE ENCLOSED =		\$1042
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.				TOTAL FEE ENCLOSED =		\$1082

Our Deposit Account No. 03-3975

Our Order No. 051270 | 0277000
C# | M#

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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

By Atty: Roger R. Wise

Reg. No. 31204

Sig:

Roger R. Wise

Atty/Sec: RRW/JES

Fax: (202) 822-0944

Tel: (213) 488-7584

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT
051270.027 7000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Kazuhiko Honda

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: May 23, 2001

For: OPTICAL DISC RECORDING METHOD, OPTICAL
DISC RECORDING DEVICE, AND OPTICAL DISC

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 724 027 302 US

Date of Deposit: May 23, 2001

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

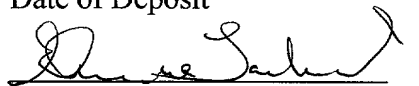
I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (50 pages of specification; 34 claims; 1 pages of abstract)
- ☒ A Check in the amount of \$1042.00 to cover the filing fee
- ☒ 6 sheets of informal drawings
- ☒ An assignment of the invention, check for \$40.00 and recordation cover sheet.
- ☒ A Declaration/Power of Attorney
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.

May 23, 2001
Date of Deposit


Ernie Sandoval